

Isometric embeddings of surfaces and quasilocal gravitational energy

Mu-Tao Wang
Columbia University
Joint with Shing-Tung Yau at Harvard

IMPAN
Warsaw, Poland
April 6, 2009

Plan of the talk

- Issues of energy and mass in general relativity. $E = mc^2$.
- What is the energy contained in a bounded region Ω^3 in spacetime?
- Closely related to isometric embedding of $\partial\Omega^3$ into $\mathbb{R}^{3,1}$.

The Minkowski space $\mathbb{R}^{3,1}$

The Minkowski space $\mathbb{R}^{3,1}$

- Space of special relativity.

The Minkowski space $\mathbb{R}^{3,1}$

- Space of special relativity.
- coordinates $(x^1, x^2, x^3, x^4 = t)$. Given $x, y \in \mathbb{R}^{3,1}$ the Lorentz product is $\langle x, y \rangle = x^1 y^1 + x^2 y^2 + x^3 y^3 - x^4 y^4$.

The Minkowski space $\mathbb{R}^{3,1}$

- Space of special relativity.
- coordinates $(x^1, x^2, x^3, x^4 = t)$. Given $x, y \in \mathbb{R}^{3,1}$ the Lorentz product is $\langle x, y \rangle = x^1 y^1 + x^2 y^2 + x^3 y^3 - x^4 y^4$.
- Unit: speed of light $c = 1$.

The Minkowski space $\mathbb{R}^{3,1}$

- Space of special relativity.
- coordinates $(x^1, x^2, x^3, x^4 = t)$. Given $x, y \in \mathbb{R}^{3,1}$ the Lorentz product is $\langle x, y \rangle = x^1 y^1 + x^2 y^2 + x^3 y^3 - x^4 y^4$.
- Unit: speed of light $c = 1$.
- Light cone $\{x^1\}^2 + (x^2)^2 + (x^3)^2 - (x^4)^2 = \langle x, x \rangle = 0$

Causal structure

Causal structure

- $\langle x, x \rangle < 0 \Rightarrow$ timelike vector, $x^4 > 0$ future directed

Causal structure

- $\langle x, x \rangle < 0 \Rightarrow$ timelike vector, $x^4 > 0$ future directed
- $\langle x, x \rangle > 0 \Rightarrow$ spacelike vector.

Causal structure

- $\langle x, x \rangle < 0 \Rightarrow$ timelike vector, $x^4 > 0$ future directed
- $\langle x, x \rangle > 0 \Rightarrow$ spacelike vector.
- Postulate: a material particle moves in timelike direction.

Causal structure

- $\langle x, x \rangle < 0 \Rightarrow$ timelike vector, $x^4 > 0$ future directed
- $\langle x, x \rangle > 0 \Rightarrow$ spacelike vector.
- Postulate: a material particle moves in timelike direction.
- Motion is described by 4-velocity vector v , $\langle v, v \rangle = -1$, $v^4 > 0$, a future timelike unit vector.

Causal structure

- $\langle x, x \rangle < 0 \Rightarrow$ timelike vector, $x^4 > 0$ future directed
- $\langle x, x \rangle > 0 \Rightarrow$ spacelike vector.
- Postulate: a material particle moves in timelike direction.
- Motion is described by 4-velocity vector v , $\langle v, v \rangle = -1$, $v^4 > 0$, a future timelike unit vector.
- The set of all future timelike unit vector $\{\langle x, x \rangle = (x^1)^2 + (x^2)^2 + (x^3)^2 - (x^4)^2 = -1, x^4 > 0.\}$ forms the hyperbolic three-space in $\mathbb{R}^{3,1}$.

Energy and mass of a particle

- Give a material particle, energy-momentum 4-vector is $p = mv$

Energy and mass of a particle

- Give a material particle, energy-momentum 4-vector is $p = mv$
- A observer has 4-velocity w , again a future timelike unit vector.

Energy and mass of a particle

- Give a material particle, energy-momentum 4-vector is $p = mv$
- A observer has 4-velocity w , again a future timelike unit vector.
- Energy seen by w is $E(w) = -\langle p, w \rangle$.

Energy and mass of a particle

- Give a material particle, energy-momentum 4-vector is $p = mv$
- A observer has 4-velocity w , again a future timelike unit vector.
- Energy seen by w is $E(w) = -\langle p, w \rangle$.
- When the observer is at rest with the particle, i.e. $w = v \Rightarrow E = m$ ($c=1$).

Energy and mass of a particle

- Give a material particle, energy-momentum 4-vector is $p = mv$
- A observer has 4-velocity w , again a future timelike unit vector.
- Energy seen by w is $E(w) = -\langle p, w \rangle$.
- When the observer is at rest with the particle, i.e. $w = v \Rightarrow E = m$ ($c=1$).
- To recover the mass and momentum 4-vector from the energy, consider $E(w)$ as a function define on $\{w | \langle w, w \rangle = -1, w^4 > 0\}$.

Energy and mass of a particle

- Give a material particle, energy-momentum 4-vector is $p = mv$
- A observer has 4-velocity w , again a future timelike unit vector.
- Energy seen by w is $E(w) = -\langle p, w \rangle$.
- When the observer is at rest with the particle, i.e. $w = v \Rightarrow E = m$ ($c=1$).
- To recover the mass and momentum 4-vector from the energy, consider $E(w)$ as a function define on $\{w | \langle w, w \rangle = -1, w^4 > 0\}$.
- The minimum of E is the mass m . Achieved at v and $p = mv$ is the energy-momentum 4-vector of the particle.

Energy of a continuous matter field in $\mathbb{R}^{3,1}$.

- Described by the energy-momentum tensor of matter density, a symmetric $(0, 2)$ tensor $T(\cdot, \cdot)$.

Energy of a continuous matter field in $\mathbb{R}^{3,1}$.

- Described by the energy-momentum tensor of matter density, a symmetric $(0, 2)$ tensor $T(\cdot, \cdot)$.
- Energy-momentum flow vector seen by an observer with 4-velocity w is dual to $T(w, \cdot)$.

Energy of a continuous matter field in $\mathbb{R}^{3,1}$.

- Described by the energy-momentum tensor of matter density, a symmetric $(0, 2)$ tensor $T(\cdot, \cdot)$.
- Energy-momentum flow vector seen by an observer with 4-velocity w is dual to $T(w, \cdot)$.
- Given a spacelike (i.e. “Riemannian” with the induced metric) bounded region Ω .

Energy of a continuous matter field in $\mathbb{R}^{3,1}$.

- Described by the energy-momentum tensor of matter density, a symmetric $(0, 2)$ tensor $T(\cdot, \cdot)$.
- Energy-momentum flow vector seen by an observer with 4-velocity w is dual to $T(w, \cdot)$.
- Given a spacelike (i.e. “Riemannian” with the induced metric) bounded region Ω .
- The energy intercepted by Ω as seen by the observer w is the flux integral

$$\int_{\Omega} T(w, n)$$

where n is the future timelike unit normal of Ω .

Energy conservation

- Suppose w is a constant future-directed timelike unit vector in $\mathbb{R}^{3,1}$, by local conservation law of T , $T(w, \cdot)$ is divergence free.

Energy conservation

- Suppose w is a constant future-directed timelike unit vector in $\mathbb{R}^{3,1}$, by local conservation law of T , $T(w, \cdot)$ is divergence free.
- $\int_{\Omega_1} T(w, n_1) = \int_{\Omega_2} T(w, n_2)$ if $\partial\Omega_1 = \partial\Omega_2$.

Energy conservation

- Suppose w is a constant future-directed timelike unit vector in $\mathbb{R}^{3,1}$, by local conservation law of T , $T(w, \cdot)$ is divergence free.
- $\int_{\Omega_1} T(w, n_1) = \int_{\Omega_2} T(w, n_2)$ if $\partial\Omega_1 = \partial\Omega_2$.
- Determine by the boundary $\Sigma = \partial\Omega$, a spacelike 2-surface in $\mathbb{R}^{3,1}$.

Quasilocal energy in General relativity

- (N, g) 4-dimensional spacetime. Gravitational field represented by the Lorentz metric g . T energy-momentum tensor of matter density.

Quasilocal energy in General relativity

- (N, g) 4-dimensional spacetime. Gravitational field represented by the Lorentz metric g . T energy-momentum tensor of matter density.
- Einstein equation

$$\text{Ric} - \frac{1}{2}Rg = 8\pi T$$

Quasilocal energy in General relativity

- (N, g) 4-dimensional spacetime. Gravitational field represented by the Lorentz metric g . T energy-momentum tensor of matter density.
- Einstein equation

$$\text{Ric} - \frac{1}{2}Rg = 8\pi T$$

- Relation between gravitation field and matter fields.

Quasilocal energy in General relativity

- (N, g) 4-dimensional spacetime. Gravitational field represented by the Lorentz metric g . T energy-momentum tensor of matter density.
- Einstein equation

$$\text{Ric} - \frac{1}{2}Rg = 8\pi T$$

- Relation between gravitation field and matter fields.
- Question: Ω bounded spacelike region, what is the total energy intercepted by Ω as seen by an observer w , What is the total mass contained in Ω ?

Difficulties

- Suppose we mimic the definition in $\mathbb{R}^{3,1}$ by taking the flux integral.

Difficulties

- Suppose we mimic the definition in $\mathbb{R}^{3,1}$ by taking the flux integral.
- No constant (Killing) vector field w in general spacetime to make $T(w, \cdot)$ divergence free.

Difficulties

- Suppose we mimic the definition in $\mathbb{R}^{3,1}$ by taking the flux integral.
- No constant (Killing) vector field w in general spacetime to make $T(w, \cdot)$ divergence free.
- Only accounts for the energy of matter fields.

$$\text{Ric} - \frac{1}{2}Rg = 0$$

Vacuum solution such as the Schwarzschild, still has gravitational energy.

Gravitation energy in general relativity

- No density (equivalence principle). One can choose local coordinates around any $p \in M$ such that any derivative of g is zero at p

Gravitation energy in general relativity

- No density (equivalence principle). One can choose local coordinates around any $p \in M$ such that any derivative of g is zero at p
- Depends on underlying geometry. Gravitation binding energy depends on distance $m_1 + m_2 - \frac{m_1 m_2}{r}$.

ADM energy momentum

- Possible to define when Ω is (unbounded) asymptotically flat (isolated physical system, gravitation field is weak at infinity)

ADM energy momentum

- Possible to define when Ω is (unbounded) asymptotically flat (isolated physical system, gravitation field is weak at infinity)
- i.e. $\Omega \setminus \text{cpt} \simeq \cup(\mathbb{R}^3 \setminus \cup B^3)$ and $g_{ij} \sim$ flat metric (with appropriate decay) on $\Omega \setminus \text{cpt}$

ADM energy momentum

- Possible to define when Ω is (unbounded) asymptotically flat (isolated physical system, gravitation field is weak at infinity)
- i.e. $\Omega \setminus \text{cpt} \simeq \cup(\mathbb{R}^3 \setminus \cup B^3)$ and $g_{ij} \sim$ flat metric (with appropriate decay) on $\Omega \setminus \text{cpt}$
- The ADM energy momentum 4-vector is (E, P_1, P_2, P_3) . Each component is given by the limit of a flux integral over coordinate sphere $S_r \subset \mathbb{R}^3$ as $r \rightarrow \infty$.

Positive energy theorem of Schoen-Yau

- Dominant energy condition: $T(w, \cdot)$ is past timelike for any future timelike w .
- Suppose the dominant energy condition holds along an asymptotically flat Ω , then (E, P_1, P_2, P_3) is a future-directed non-spacelike vector, i.e.

$$E \geq 0, -E^2 + P_1^2 + P_2^2 + P_3^2 \leq 0.$$

- In particular, the ADM mass $\sqrt{E^2 - P_1^2 - P_2^2 - P_3^2}$ is non-negative and $= 0$ if and only if the spacetime is flat along Ω .

Bounded region in general spacetime, strong field

- Recall in $\mathbb{R}^{3,1}$ the energy intercepted by Ω depends only on $\partial\Omega$.
- In, general given a $\Sigma = \partial\Omega$ a spacelike 2-surface, we want to attach to it a quasilocal energy-momentum 4 vector.

Bounded region in general spacetime, strong field

- Recall in $\mathbb{R}^{3,1}$ the energy intercepted by Ω depends only on $\partial\Omega$.
- In, general given a $\Sigma = \partial\Omega$ a spacelike 2-surface, we want to attach to it a quasilocal energy-momentum 4 vector.
- Recall particle case:

observer $w \Rightarrow E(w)$, $m = \min E(w)$ and $p = mv$

Bounded region in general spacetime, strong field

- Recall in $\mathbb{R}^{3,1}$ the energy intercepted by Ω depends only on $\partial\Omega$.
- In, general given a $\Sigma = \partial\Omega$ a spacelike 2-surface, we want to attach to it a quasilocal energy-momentum 4 vector.
- Recall particle case:

$$\text{observer } w \Rightarrow E(w), \quad m = \min E(w) \text{ and } p = mv$$

- Given Σ a spacelike 2-surface in spacetime N ,

$$(i, w) \Rightarrow E(i, w), \quad m = \min E(i, w) \text{ and } p = mv$$

where $i : \Sigma \rightarrow \mathbb{R}^{3,1}$ an isometric embedding of Σ and $i \in \mathbb{R}^{3,1}$ is future timelike.

Why isometric embedding into $\mathbb{R}^{3,1}$?

- Hamilton-Jacobi analysis of gravitation action (whose Euler-Lagrange equation is the Einstein equation). The energy is the difference between physical Hamiltonian and reference Hamiltonian.
- Physical Hamiltonian = integral over $\Sigma \subset N$
- Reference Hamiltonian = integral over a reference surface.
- Isometric embedding gives reference surface in flat spacetime. Uniqueness?

- Isometric embedding into \mathbb{R}^3 (Weyl, Nirenberg, Pogorelov) has been used to define:

- Isometric embedding into \mathbb{R}^3 (Weyl, Nirenberg, Pogorelov) has been used to define:
- Brown-York mass, $\frac{1}{8\pi}(\int_{\Sigma} H_0 - \int_{\Sigma} H)$ (positivity Shi-Tam).

- Isometric embedding into \mathbb{R}^3 (Weyl, Nirenberg, Pogorelov) has been used to define:
- Brown-York mass, $\frac{1}{8\pi}(\int_{\Sigma} H_0 - \int_{\Sigma} H)$ (positivity Shi-Tam).
- Liu-Yau mass $\frac{1}{8\pi}(\int_{\Sigma} H_0 - \int_{\Sigma} |H|)$ (positivity Liu-Yau).

- Isometric embedding into \mathbb{R}^3 (Weyl, Nirenberg, Pogorelov) has been used to define:
- Brown-York mass, $\frac{1}{8\pi}(\int_{\Sigma} H_0 - \int_{\Sigma} H)$ (positivity Shi-Tam).
- Liu-Yau mass $\frac{1}{8\pi}(\int_{\Sigma} H_0 - \int_{\Sigma} |H|)$ (positivity Liu-Yau).
- However, there exist surfaces in $\mathbb{R}^{3,1}$ with strictly positive mass.

Physical surface Hamiltonian

- Derived by ADM, Brown-York, Hawking-Horowitz.
- Reduce to an integral on a spacelike 2-surface in spacetime N .
- Physical surface Hamiltonian

$$\int_{\Sigma} \mathfrak{H}(\bar{n}, \bar{w})$$

where \bar{n} is a future directed timelike unit normal of Σ , \bar{w} a future-directed timelike unit vector (observer) (Recall $\int_{\Omega} T(n, w)$).

The prescription of reference surface Hamiltonian (W-Yau)

- Given an isometric embedding $i : \Sigma \rightarrow \mathbb{R}^{3,1}$ and a constant future timelike unit vector w in $\mathbb{R}^{3,1}$, we define a reference Hamiltonian $\int_{\Sigma} \mathfrak{H}(n, w)$.
- (i, w) determines a canonical gauge n along $i(\Sigma)$. \bar{n} and \bar{w} are uniquely determined by matching conditions of Σ in N and $i(\Sigma)$ in $\mathbb{R}^{3,1}$.
- Quasilocal energy is

$$E(i, w) = \int_{\Sigma} \mathfrak{H}(n, w) - \int_{\Sigma} \mathfrak{H}(\bar{n}, \bar{w}).$$

- ADM mass on S_r .

- We assume the mean curvature vector of Σ in N is spacelike.

- We assume the mean curvature vector of Σ in N is spacelike.



$$\mathfrak{H}(\bar{n}, \bar{w}) = \langle J - V, \bar{w} \rangle$$

where J (a future directed timelike normal vector field of Σ) is the reflection of the mean curvature vector field H of Σ along the light cone in the normal bundle.

- We assume the mean curvature vector of Σ in N is spacelike.



$$\mathfrak{H}(\bar{n}, \bar{w}) = \langle J - V, \bar{w} \rangle$$

where J (a future directed timelike normal vector field of Σ) is the reflection of the mean curvature vector field H of Σ along the light cone in the normal bundle.

- V is the tangent vector field on Σ that is dual to the connection one form determined by \bar{n} (this is gauge dependent). Imagine \bar{n} is the future timelike unit normal of a spacelike domain Ω that Σ bounds.

Observation (Gibbons)

Important property of $\mathfrak{H}(n, w)$, when Σ is in $\mathbb{R}^{3,1}$, w a constant future timelike unit vector in $\mathbb{R}^{3,1}$, there exists a canonical gauge n such that $\int_{\Sigma} \mathfrak{H}(n, w)$ is equal to the total mean curvature of $\hat{\Sigma}$, the projection of Σ onto the orthogonal complement of w .

Matching condition

- The matching condition requires

$$\langle H_0, w \rangle = \langle H, \bar{w} \rangle$$

i.e. the observers \bar{w} in N and w in $\mathbb{R}^{3,1}$ see the same rate of change of area (expansion) of the corresponding surfaces Σ and $i(\Sigma)$.

Matching condition

- The matching condition requires

$$\langle H_0, w \rangle = \langle H, \bar{w} \rangle$$

i.e. the observers \bar{w} in N and w in $\mathbb{R}^{3,1}$ see the same rate of change of area (expansion) of the corresponding surfaces Σ and $i(\Sigma)$.

- $\Sigma \subset N$ and $i(\Sigma) \subset \mathbb{R}^{3,1}$ have the same induced metric.

Theorem

If N satisfies the dominant energy condition, and (i, w) is admissible, then

$$E(i, w) = \int_{\Sigma} \mathfrak{H}(n, w) - \int_{\Sigma} \mathfrak{H}(\bar{n}, \bar{w})$$

is non-negative.

1. variational properties of canonical gauges.
2. Solvability of Jang's equation (Schoen-Yau) to reduce to a Riemannian case.
3. A quasispherical construction (Bartnik) and positive mass theorem for manifolds with corners (Shi-Tam)
4. Relating the surface Hamiltonian in different ambient manifolds.

Isometric embeddings with convex shadows

- Admissible pair (i, w) is best described by the time function τ , the restriction of x^4 to $i(\Sigma)$.
- (i, w) is said to have convex shadow if the projection of $i(\Sigma)$ onto the orthogonal complement of w is a convex surface (always get an embedded surface).

Theorem

(Weyl, Nirenberg, Pogorelov) Given an metric σ on $\Sigma \simeq S^2$ and suppose

$$K > 0$$

Then there exists a unique isometric embedding $i : \Sigma \rightarrow \mathbb{R}^3$ that is convex.

Theorem

(Weyl, Nirenberg, Pogorelov) Given an metric σ on $\Sigma \simeq S^2$ and suppose

$$K > 0$$

Then there exists a unique isometric embedding $i : \Sigma \rightarrow \mathbb{R}^3$ that is convex.



Theorem

(W-Yau) Given an metric σ on $\Sigma \simeq S^2$ suppose τ is a smooth function on Σ that satisfies

$$K + (1 + |\nabla\tau|^2)^{-1} \det(\nabla^2\tau) > 0$$

Then there exists a unique isometric embedding $i : \Sigma \rightarrow \mathbb{R}^{3,1}$ with convex shadow and with τ as its time function.

